SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

L											
	OMB Number:	3235-0287									
	Estimated average burden										
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Check this box if no longer subject to Section 16. Form 4 or Form 5	STATEMENT
obligations may continue. See Instruction 1(b).	Filed pu

TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

led pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre Sinha Vikas			2. Issuer Name and Ticker or Trading Symbol <u>ALEXION PHARMACEUTICALS INC</u> [ALXN]		tionship of Reporting Pers all applicable) Director Officer (give title below)	on(s) to Issuer 10% Owner Other (specify below)		
(Last) C/O ALEXION	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/26/2016	EVP & CFO				
100 COLLEGE STREET			02/20/2010					
P			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	idual or Joint/Group Filing	(Check Applicable		
(Street)				X	Form filed by One Repo	orting Person		
NEW HAVEN	СТ	06510			Form filed by More than Person	÷		
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
02/26/2016		A		8,000(1)	Α	\$ <mark>0</mark>	179,862	D	
02/29/2016		S		1,120 ⁽²⁾	D	\$138.91 ⁽³⁾	178,742	D	
02/29/2016		S		2 ⁽²⁾	D	\$141.23(4)	178,740	D	
	Date (Month/Day/Year) 02/26/2016 02/29/2016	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) 02/26/2016 02/29/2016	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transa Code 8) 02/26/2016 A 02/29/2016 S	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8) 02/26/2016 Code V 02/29/2016 A S	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction Code (No Disposed Of (No 02/26/2016 A V Amount 02/29/2016 S 1,120 ⁽²⁾	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction cold Disposed Of (D) (Instr. S) Disposed Of (D) (Instr. Cold Disposed Of (D) (Instr. Cold <thdisposed (d)="" (instr.<br="" of="">Cold <thdisposed of<="" td=""><td>Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8) Disposed Of (D) (Instr. 3, 4 and 5) 02/26/2016 A V Amount (A) or (D) Price 02/26/2016 A S 8,000⁽¹⁾ A \$0 02/29/2016 S I,120⁽²⁾ D \$138.91⁽³⁾</td><td>Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction (S) Disposed Of (D) (Instr. 3, 4 and 5) Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) 02/26/2016 A V Amount (A) or (D) Price Reported Transaction(s) (Instr. 3 and 4) 02/29/2016 A S 1,120(2) D \$138,91(3) 178,742</td><td>Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction (Sode (Instr. 8) Disposed Of (D) (Instr. 3, 4 and 5) Securities Beneficially OW (D) Form: Direct (D) or Indirect (D) or Indirect (</td></thdisposed></thdisposed>	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8) Disposed Of (D) (Instr. 3, 4 and 5) 02/26/2016 A V Amount (A) or (D) Price 02/26/2016 A S 8,000 ⁽¹⁾ A \$0 02/29/2016 S I,120 ⁽²⁾ D \$138.91 ⁽³⁾	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction (S) Disposed Of (D) (Instr. 3, 4 and 5) Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) 02/26/2016 A V Amount (A) or (D) Price Reported Transaction(s) (Instr. 3 and 4) 02/29/2016 A S 1,120(2) D \$138,91(3) 178,742	Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction (Sode (Instr. 8) Disposed Of (D) (Instr. 3, 4 and 5) Securities Beneficially OW (D) Form: Direct (D) or Indirect (D) or Indirect (

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exerc Expiration Da (Month/Day/)	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Option to Purchase Common Stock	\$140.16	02/26/2016		A		31,000 ⁽⁵⁾		02/26/2017	02/26/2026	Common Stock, par value \$.0001 per share	31,000	\$140.16	31,000	D	

Explanation of Responses:

1. Award of Restricted Stock Units under the 2004 Incentive Plan. 25% vests on each anniversary of the grant date.

2. This sale was made to cover withholding taxes immediately following the vesting of previously granted Restricted Stock Units.

3. This transaction was executed in multiple trades through a broker-dealer at prices ranging from \$138.09 - \$138.99. The price reported in this column reflects the weighted average sales price. Upon request, the reporting person will provide to the SEC staff full information regarding the number of shares sold at each price.

4. This transaction was executed in multiple trades through a broker-dealer at prices ranging from \$141.00 - \$141.99. The price reported in this column reflects the weighted average sales price. Upon request, the reporting person will provide to the SEC staff full information regarding the number of shares sold at each price.

 $5.\ 25\%$ vests on the first anniversary of the grant date and 1/16 every three months thereafter.

Remarks:

/s/ Michael Greco Attorney-in-

Fact for Vikas Sinha

03/01/2016

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.